

COVER SHEET

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SEC Registration No.

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(Company's Full Name)

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(Business Address : No. Street City / Town / Province)

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|-------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| JOCELYN A. VALLE | | | | | | | | | | | | | | |
|-------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|

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| 8637-3112 | | | | | | | | | |
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Contact Person

Contact Telephone No.

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| 1 | 2 | | 3 | 1 | |
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|---|
| CORPORATE GOVERNANCE CERTIFICATE |
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|----------------|
| Oct. 12 |
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Fiscal Year

FORM TYPE

Month Day
Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

Total Amount of Borrowings

| |
|--|
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|--|

Total No. of Stockholders

| |
|--|
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Domestic

| |
|--|
| |
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Foreign

To be accomplished by SEC Personnel concerned

| | | | | | | | | | | | |
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File Number

LCU

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Document I.D.

Cashier

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| STAMPS | | | | | | | | | |
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QUALITY.
OUR DISTINCTION.
OUR COMMITMENT.

November 14, 2023

SECURITIES AND EXCHANGE COMMISSION
SEC Head Office PICC Building
Roxas Boulevard, Pasay City

Attention : Corporate Finance Department
Re: **Corporate Governance Seminar**

Gentlemen:

In compliance with the SEC Memorandum Circular No. 20 Series of 2013, we submit the attached 2023 certificate of completion of the following directors and officers:

| | |
|-------------------------------|--|
| 1. ARTHUR M. LOPEZ | Chairman / Director |
| 2. KENNETH T. GATCHALIAN | Vice Chairman / Director |
| 3. ELVIRA A. TING | President / CEO / Director |
| 4. RICHARD L. RICARDO | Corporate Treasurer/Director |
| 5. ARSENIO A. ALFILER JR. | Corporate Secretary |
| 6. AMANDO J. PONSARAN JR. | Assistant Corporate Secretary |
| 7. JOCELYN A. VALLE | Corporate Compliance Officer/Finance Head |
| 8. GLENN GERALD D. PANTIG | Chief Operation Officer |
| 9. BYOUNG HYUN SUH | Independent Director |
| 10. JOSAIAS T. DELA CRUZ | Lead Independent Director |
| 11. RENATO C. FRANCISCO | Independent Director |
| 12. DEE HUA T. GATCHALIAN | Director |
| 13. ARTHUR R. PONSARAN | Director |
| 14. RUBEN D. TORRES | Director |
| 15. SERGIO R. ORTIZ-LUIS, JR. | Director |
| 16. ERWIN BRYAN S. KANAPI | Chief Risk Officer /Corporate Legal Counsel / Data Protection Officer and Compliance for AMLC |

Very truly yours,

Philippine Estates Corporation

By:



JOCELYN A. VALLE
Corporate Compliance Officer



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Arthur M. Lopez

for having completed the webinar on

CORPORATE GOVERNANCE

held on November 7, 2023 through Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Kenneth T. Gatchalian

for having completed the webinar on

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Benjamin I. Espiritu, Ph.D.
President



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CERTIFICATE OF COMPLETION

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Elvira A. Ting

for having completed the webinar on

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President



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Richard L. Ricardo

for having completed the webinar on

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President



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Atty. Arsenio A. Alfiler, Jr.

for having completed the webinar on

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President



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Amando J. Ponsaran, Jr.

for having completed the webinar on

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Jocelyn A. Valle

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President



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Glenn Gerald Pantig

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Byoung Hyun Suh

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Josaias T. Dela Cruz

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President



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Atty. Renato C. Francisco

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President



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Dee Hua T. Gatchalian

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Atty. Arthur R. Ponsaran

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Atty. Ruben D. Torres

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Sergio R. Ortiz-Luis, Jr.

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Atty. Erwin Bryan S. Kanapi

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President