

COVER SHEET

1 1 2 9 7 8

SEC Registration No.

P H I L I P P I N E E S T A T E S C O R P O R A T I O N

[Empty grid for additional registration information]

(Company's Full Name)

3 5 T H F L R O N E C O R P O R A T E C E N T E R

J U L I A V A R G A S C O R M E R A L C O A V E

O R T I G A S C E N T E R P A S I G C I T Y

(Business Address : No. Street City / Town / Province)

JOCELYN A. VALLE

8637-3112

Contact Person

Contact Telephone No.

1 2

3 1

CORPORATE GOVERNANCE CERTIFICATE

November 5

Fiscal Year

FORM TYPE

Month Day
Annual Meeting

[Empty box]
Secondary License Type, If Applicable

[Empty box]

Dept. Requiring this Doc.

[Empty box]

Amended Articles Number/Section

Total Amount of Borrowings

[Empty box]

[Empty box]

[Empty box]

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

[Empty grid]

File Number

LCU

[Empty grid]

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

November 26, 2021

SECURITIES AND EXCHANGE COMMISSION
SEC Head Office PICC Building
Roxas Boulevard, Pasay City



Attention : Corporate Finance Department
Re: Corporate Governance Seminar

Gentlemen:

In compliance with the SEC Memorandum Circular No. 20 Series of 2013, we submit the attached 2021 certificate of completion of the following directors and officers:

- | | |
|-------------------------------|--|
| 1. ARTHUR M. LOPEZ | Chairman / Director |
| 2. KENNETH T. GATCHALIAN | Vice Chairman / Director |
| 3. ELVIRA A. TING | President / CEO / Director |
| 4. RICHARD L. RICARDO | Corporate Treasurer/Director |
| 5. ARSENIO A. ALFILER JR. | Corporate Secretary |
| 6. MARIEL L. FRANCISCO | Assistant Corporate Secretary |
| 7. JOCELYN A. VALLE | Corporate Compliance Officer/Finance Head |
| 8. GLENN GERALD D. PANTIG | Chief Operation Officer |
| 9. DEE HUA T. GATCHALIAN | Director |
| 10. JOSAIAS DELA CRUZ | Independent Director |
| 11. ARTHUR R. PONSARAN | Director |
| 12. RUBEN D. TORRES | Independent Director |
| 13. RENATO C. FRANCISCO | Independent Director |
| 14. SERGIO R. ORTIZ-LUIS, JR. | Director |
| 15. BYOUNG HYUN SUH | Independent Director |
| 16. ERWIN BRYAN S. KANAPI | Chief Risk Officer, Data Protection Officer, and Compliance for AMLC |


JOCELYN A. VALLE
Corporate Compliance Officer



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Arthur M. Lopez

for having completed the webinar on

CORPORATE GOVERNANCE

held on 19 November 2021 via Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Kenneth T. Gatchalian

for having completed the webinar on

CORPORATE GOVERNANCE

held on 19 November 2021 via Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



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Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Elvira A. Ting

for having completed the webinar on

CORPORATE GOVERNANCE

held on 19 November 2021 via Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



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awards this

CERTIFICATE OF COMPLETION

to

Richard L. Ricardo

for having completed the webinar on

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held on 19 November 2021 via Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



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CERTIFICATE OF COMPLETION

to

Atty. Arsenio A. Alfiler, Jr.

for having completed the webinar on

CORPORATE GOVERNANCE

held on 19 November 2021 via Zoom Meeting


Benjamin I. Espiritu, Ph.D.
President



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awards this

CERTIFICATE OF COMPLETION

to

Atty. Mariel L. Francisco

for having completed the webinar on

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held on 19 November 2021 via Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



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Jocelyn A. Valle

for having completed the webinar on

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Benjamin I. Espiritu, Ph.D.
President



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CERTIFICATE OF COMPLETION

to

Glenn Gerald D. Pantig

for having completed the webinar on

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Benjamin I. Espiritu, Ph.D.
President



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to

Dee Hua T. Gatchalian

for having completed the webinar on

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President



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Josaias Dela Cruz

for having completed the webinar on

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held on 19 November 2021 via Zoom Meeting

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President



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Arthur R. Ponsaran

for having completed the webinar on

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held on 19 November 2021 via Zoom Meeting

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President



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Atty. Ruben D. Torres

for having completed the webinar on

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President



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CERTIFICATE OF COMPLETION

to

Justice Renato C. Francisco

for having completed the webinar on

CORPORATE GOVERNANCE

held on 19 November 2021 via Zoom Meeting



Benjamin I. Espiritu, Ph.D.
President



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awards this

CERTIFICATE OF COMPLETION

to

Sergio R. Ortiz-Luis, Jr.

for having completed the webinar on

CORPORATE GOVERNANCE

held on 24 September 2021 via Zoom Meeting


Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Byoung Hyun Suh

for having completed the webinar on

CORPORATE GOVERNANCE

held on 19 November 2021 via Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Erwin Bryan Kanapi

for having completed the webinar on

CORPORATE GOVERNANCE

held on 19 November 2021 via Zoom Meeting

Benjamin I. Espiritu, Ph.D.
President