

COVER SHEET

1 1 2 9 7 8

SEC Registration No.

P H I L I P P I N E E S T A T E S C O R P O R A T I O N

(Company's Full Name)

3 5 T H F L R O N E C O R P O R A T E C E N T E R

J U L I A V A R G A S C O R M E R A L C O A V E

O R T I G A S C E N T E R P A S I G C I T Y

(Business Address : No. Street City / Town / Province)

JOCELYN A. VALLE

8637-3112

Contact Person

Contact Telephone No.

1 2

3 1

CORPORATE GOVERNANCE CERTIFICATE

OCTOBER 30

Fiscal Year

FORM TYPE

Month Day
Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes



November 26, 2020


SECURITIES AND EXCHANGE COMMISSION
SEC Head Office PICC Building
Roxas Boulevard, Pasay City

Attention : Corporate Finance Department
Re: Corporate Governance Seminar

Gentlemen:

In compliance with the SEC Memorandum Circular No. 20 Series of 2013, we submit the attached 2020 certificate of completion of the following directors and officers:

- | | |
|-------------------------------|--------------------------------------|
| 1. ARTHUR M. LOPEZ | Chairman / Director |
| 2. KENNETH T. GATCHALIAN | Vice Chairman / Director |
| 3. ELVIRA A. TING | President / CEO / Director |
| 4. RICHARD L. RICARDO | Corporate Treasurer/Director |
| 5. ARSENIO A. ALFILER JR. | Corporate Secretary |
| 6. MARIEL L. FRANCISCO | Assistant Corporate Secretary |
| 7. JOCELYN A. VALLE
Head | Corporate Compliance Officer/Finance |
| 8. GLENN GERALD D. PANTIG | Chief Operating Officer |
| 9. DEE HUA T. GATCHALIAN | Director |
| 10. JAMES B. PALIT-ANG | Director |
| 11. ARTHUR R. PONSARAN | Director |
| 12. RUBEN D. TORRES | Independent Director |
| 13. RENATO C. FRANCISCO | Independent Director |
| 14. SERGIO R. ORTIZ-LUIS, JR. | Independent Director |
| 15. BYOUNG HYUN SUH | Independent Director |
| 16. ERWIN BRYAN S.K ANAPI | Corporate Legal Counsel |


JOCELYN A. VALLE
Corporate Compliance Officer



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Arthur M. Lopez

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Kenneth T. Gatchalian

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Elvira A. Ting

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020



Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Richard L. Ricardo

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Atty. Arsenio A. Alfiler, Jr.

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020


Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Atty. Mariel L. Francisco

for having completed the webinar on

CORPORATE GOVERNANCE

held on 19 November 2020

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Jocelyn A. Valle

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Glenn Gerald D. Pantig

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020


Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION


to

Dee Hua T. Gatchalian

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020



Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

James B. Palit-Ang

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020


Benjamin I. Espiritu, Ph.D.
President



CENTER FOR TRAINING
AND DEVELOPMENT, INC.

This

Certificate of Attendance

is presented to

Arthur R. Ponsaran

for participating in the seminar on

***“2020 Annual Corporate Governance Seminar:
Stay Updated in New Normal”***

*Held on October 28, 2020
Via Zoom Webinar*

ANGELO D. BERNALDO
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Ruben D. Torres

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Justice Renato C. Francisco

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Sergio R. Ortiz-Luis, Jr.

for having completed the webinar on

CORPORATE GOVERNANCE

held on 25 September 2020

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION


to

Byoung Hyun Suh

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020



Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Erwin Bryan Kanapi

for having completed the webinar on

CORPORATE GOVERNANCE

held on 18 November 2020


Benjamin I. Espiritu, Ph.D.
President